

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Harumi Kuno, et al.

Confirmation No.:

5233

Serial No.:

10/003,349

Examiner: Chea, Philip J.

Filed:

December 6, 2001

**Group Art Unit:** 

2453

Title:

Transformational Conversation Definition Language

MAIL STOP PETITION
COMMISSIONER FOR PATENTS
P. O. BOX 1450
ALEXANDRIA, VA 22313-1450

## **SIR OR MADAM:**

## DECLARATION OF MICHAEL J. KRAFT IN SUPPORT OF A PETITION TO WITHDRAW THE HOLDING OF ABANDONMENT BASED ON FAILURE TO RECEIVE NOTIFICATION OF NON-COMPLIANT APPEAL BRIEF

I, Michael J. Kraft, having an address of 3404 East Harmony Rd., Fort Collins, CO 80528 hereby declare as follows:

- I am currently a Patent Paralegal for the Hewlett-Packard Company Corporate Legal Department. I have held this position since November 8, 1999 and am knowledgeable of the facts stated below.
- 2. My responsibilities include maintaining a corporate mail log of formal papers received from the United States Patent and Trademark Office addressed to the Hewlett-Packard Company, Intellectual Property Administration office.
- 3. The procedure for handling formal papers received from the Patent and Trademark Office is as follows:
  - Mail received from the Patent and Trademark Office is opened and each individual document is scanned and converted into a Portable Document Format (PDF) file.
  - b. A stamp is added to each PDF file showing the date received.
  - The documents in electronic form are entered into the Hewlett-Packard Legal
     Department's mail log to identify each of the documents with the following criteria:
    - i. Internal docket number
    - ii. Application serial number
    - iii. The date of receipt by Hewlett-Packard Company, Intellectual Property Administration

Cathy Hayes

- iv. Mailing date from the U.S. Patent and Trademark Office
- v. Type of document
- vi. Action Due

Date of Deposit 4-6-09	
I hereby certify that this correspondence is being deposited w	
the U.S. Postal Service with sufficient postage as first class mail	ir
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- d. A mail log report is generated; the documents are double-checked against the mail log report and then uploaded into the Hewlett-Packard Legal Department's image database. The original paper document is filed and stored for a period of two months.
- 4. Appendix A is a true copy of the Hewlett-Packard Legal Department mail log (the master docket sheet) for a one-month period showing all documents for Hewlett-Packard Company docketed from December 27, 2007 to January 27, 2008. If a Notification of Non-Compliant Appeal Brief had been received for patent application 10/003,349, it would have been entered in the mail log as a "Notice (Other)" with an action due of "NON-COMPLIANCE". I have reviewed the entries listed in the mail log for this period and there is no Notification of Non-Compliant Appeal Brief listed.
- 5. Appendix B is a true copy of the Hewlett-Packard Legal Department image file wrapper for patent application number 10/003,349. I have reviewed the files listed in the image file wrapper and there is no Notification of Non-Compliant Appeal Brief mailed on December 27, 2007 or any other date.
- It is my belief that the Notification of Non-Compliant Appeal Brief mailed on December 27, 2007, for patent application serial number 10/003,349, was not received by Hewlett-Packard Company, Intellectual Property Administration, the correspondence address of record.
- 7. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Signed this 27th day of March, 2009

Michael J. Kraft/ Patent Paralegal

Hewlett-Packard Legal Department Intellectual Property Administration

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1/1/2008 96133040	100110412-10	E-Mail & Correspondence - Foreign Agent	3/21/2008
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1/2/2008 0511042	200209772-2	E-Mail & Correspondence - Foreign Agent		1/4/2008
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1/2/2008 10/094349	10005665-1	Office/Examiner's Action	ОА З МО	1/8/2008
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1/7/2008 10/251538	30014676-2	Notice of Abandonment		1/14/2008
1/7/2008 10/279643	200208687-1	Notice of Non-Payment of Annuity		1/29/2008
1/7/2008 10/320586	200209545-1	Office/Examiner's Action	OA 3 MO	1/10/2008
1/7/2008 10/355118	100204008-1	Office/Examiner's Action	OA 3 MO	1/14/2008
1/7/2008 10/372576	700204181-1	Notice of Allowance and Fee(s) Due	ISSUE FEE 3 MO	1/14/2008
1/7/2008 10/375638	200300120-1	Office/Examiner's Action	OA FINAL	1/11/2008
1/7/2008 10/453980	200209493-1	Notice (Other)	NON-COMPLIANT AMEND	1/10/2008
1/7/2008 10/601906	200208772-1	Notice of Abandonment		1/14/2008
1/7/2008 10/613750	300201957-3	Notice of Abandonment		1/14/2008
1/7/2008 10/642843	200207992-1	Office/Examiner's Action	OA 3 MO	1/10/2008
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1/9/2008	OA FINAL	Office/Examiner's Action	200502274-1	1/7/2008 11/184295
1/9/2008	OA FINAL	Office/Examiner's Action	200401369-1	1/7/200811/155933
1/11/2008	OA 3 MO	Office/Examiner's Action	200704495-1	1/7/2008 11/108181
1/9/2008		Notice of Allowance and Fee(s) Due	200313328-1	1/7/2008 11/074411
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1/28/2008	OA 1 MO	Office/Examiner's Action	100202413-2	1/7/2008 10355634.6
1/8/2008		E-Mail & Correspondence - Foreign Agent	100205067-4	1/7/2008 10354887.4
1/7/2008		E-Mail & Correspondence - Foreign Agent	200207836-4	1/7/2008 10342476.8
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1/10/2008	OA FINAL	Office/Examiner's Action	200400186-1	1/7/2008 10/992926
1/10/2008	Material Constitution and Assistance	Notice of Allowance and Fee(s) Due	200312907-1	1/7/2008 10/979989
1/11/2008		Notice (Other)	200208209-1	1/7/2008 10/945183
1/10/2008	OA 3 MO	Office/Examiner's Action	200403369-1	1/7/2008/10/896568
1/10/2008	OA FINAL	Office/Examiner's Action	200402844-1	1/7/2008 10/868638
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1/10/2008	BENNERAL MANAGEMENT AND	Notice of Abandonment	200314194-1	1/7/2008 10/829057
1/10/2008	interference of the state of th	Response to CFR 1.312 Comm	200314319-1	1/7/2008 10/828390
1/10/2008	OA FINAL	Office/Examiner's Action	200400519-1	1/7/2008 10/821130
1/9/2008		Transmittal Package - Response/Amendm	200300248-1	1/7/2008 10/808223
1/15/2008	0A 3 MO	Office/Examiner's Action	200400249-1	1/7/2008 10/783162
5/15/2008	ADVISORY	Advisory Action	100203256-1	1/7/2008 10/701299
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1/10/2008	ISSUE FEE 3 MO	Notice of Allowance and Fee(s) Due	200300193-1	1/7/2008 10/694108
1/10/2008	OA FINAL	Office/Examiner's Action	200310527-1	1/7/2008 10/665891
Date of Receipt	Action Due	Type of Document	Hewlett-Packard Document No	Mailing Date Application No

1/11/2008	COMPARISON OF THE PROPERTY OF	Filing Receipt	200700549-3	1/7/2008 97100553
1/16/2008		PTO Correspondence	200313424-2	1/7/2008 94106642
1/7/2008		E-Mail & Correspondence - Foreign Agent	200313183-4	1/7/2008 94100147
2/20/2008	ISSUE FEE and ANNUITY	Notice of Allowance and Fee(s) Due	100202872-3	1/7/2008 92121486
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1/14/2008		Filing Receipt	200702747-1	1/7/2008 61/016061
1/14/2008	The second section of the second	Filing Receipt	200702609-1	1/7/2008 61/016052
1/14/2008		Filing Receipt	200702604-1	1/7/2008 61/016043
1/9/2008		Filing Receipt	200702574-1	1/7/2008 61/014219
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1/9/2008		Filing Receipt	200702401-1	1/7/2008 61/012255
1/9/2008	to a designation of the second	Filing Receipt	200702916-1	1/7/2008 61/012099
1/9/2008		Filing Receipt	200701779-1	1/7/2008 61/007768
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1/11/2008		PTO Decision Letter	200502906-1	1/7/2008 29/256413
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1/9/2008		E-Mail & Correspondence - Foreign Agent	200503795-9	1/7/2008 2613829
3/5/2008		Notice of Abandonment	10012108-3	1/7/2008 2487530
2/21/2008	ОА 6 МО	Office/Examiner's Action	10003553-11	1/7/2008 2419242
1/15/2008		E-Mail & Correspondence - Foreign Agent	10003353-3	1/7/2008 225/02
1/11/2008		Notice of Issuance/Grant	100200731-3	1/7/2008 2074/03
1/7/2008		E-Mail & Correspondence - Foreign Agent	200503055-7	1/7/2008 2007-7030195
1/7/2008		E-Mail & Correspondence - Foreign Agent	200501159-6	1/7/2008 2007-7029725
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1/15/2008		E-Mail & Correspondence - Foreign Agent	200407722-3	1/7/2008 200680031698.7
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1/8/2008		Reminder FA/OC	200402614-3	1/7/2008 200510087880.1
1/7/2008		E-Mail & Correspondence - Foreign Agent	200314080-3	1/7/2008 2004203310
1/7/2008		E-Mail & Correspondence - Foreign Agent	200312588-2	1/7/2008 200410088377.3
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1/7/2008 PH09-173485 10960	10960562-2	Reminder FA/OC		1/7/2008
1/7/2008 PH09-223504 10951	10951060-2	E-Mail & Correspondence - Foreign Agent		1/7/2008
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1/7/2008 PH10-036901 10960	10960728-2	E-Mail & Correspondence - Foreign Agent		1/7/2008
1/7/2008 PH10-308596 10980	10980326-2	E-Mail & Correspondence - Foreign Agent		1/7/2008
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1/8/2008 00306788.1   10990	10990592-15	Notice (Other)		1/10/2008

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1/8/2008 01301518.5	10980079-3	Reminder FA/OC		1/16/2008
1/8/2008 01308762.2	10001676-5	R71(3) Communication	EP R71(3) APRV TXT,GRNT	1/15/2008
1/8/2008 02251454.1	10007120-2	E-Mail & Correspondence - Foreign Agent		1/9/2008
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	200500296-4	E-Mail & Correspondence - Foreign Agent		1/11/2008
1/8/2008 06770560.8	200407069-4	E-Mail & Correspondence - Foreign Agent		1/9/2008
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1/14/2008		Post-Grant Checklist	10012577-3	1/8/2008 10/678826
1/10/2008	NON-COMPLIANT AMEND	Notice (Other)	200207150-1	1/8/2008 10/661001
1/10/2008	OA 3 MO	Office/Examiner's Action	200308995-1	1/8/2008 10/632291
1/10/2008	OA FINAL	Office/Examiner's Action	200206676-1	1/8/2008 10/629742
1/14/2008	ISSUE FEE 3 MO	Notice of Allowance and Fee(s) Due	200205760-1	1/8/2008 10/410676
1/14/2008	EXMINR ANSWER	Examiner's Answer	200207251-1	1/8/2008 10/365996
1/14/2008	The second secon	Post-Grant Checklist	200208354-1	1/8/2008 10/355516
1/14/2008	OA 3 MO	Office/Examiner's Action	100110819-1	1/8/2008 10/325291
1/14/2008		Post-Grant Checklist	200302648-1	1/8/2008 10/324945
1/15/2008	0A 1 MO	Notice (Other)	10018170-1	1/8/2008 10/260781
1/14/2008		Post-Grant Checklist	100201866-1	1/8/2008 10/236430
1/14/2008		Post-Grant Checklist	30008116-2	1/8/2008 10/206350
1/14/2008	OA 3 MO	Office/Examiner's Action	30012799-2	1/8/2008 10/202789
1/14/2008	OA FINAL	Office/Examiner's Action	10008066-1	1/8/2008 10/179331
1/14/2008	Tradition and the expression of the expression o	Post-Grant Checklist	10019999-1	1/8/2008 10/140697
1/14/2008		Post-Grant Checklist	10017582-1	1/8/2008 10/137395
1/15/2008		Notice of Abandonment	10018771-1	1/8/2008 10/106006
1/14/2008	OA FINAL	Office/Examiner's Action	10012900-1	1/8/2008 10/086746
1/14/2008		Post-Grant Checklist	200301929-1	1/8/2008 10/062808
2/12/2008		Notice of Issuance/Grant	30001065-4	1/8/2008 10-2001-15982
2/12/2008		E-Mail & Correspondence - Translation	30001065-4	1/8/2008 10-2001-15982
1/28/2008		Notice of Issuance/Grant	30001065-4	1/8/2008 10-2001-15982
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1/28/2008		Notice of Issuance/Grant	30001064-4	1/8/2008 10-2001-15981
1/14/2008		Post-Grant Checklist	200302230-1	1/8/2008 09/965998
1/14/2008	OA FINAL	Office/Examiner's Action	10010735-1	1/8/2008 09/949140
1/16/2008		PTO Decision Letter	60990043-1	1/8/2008 09/773054
1/14/2008		Post-Grant Checklist	10991833-1	1/8/2008 09/578155
2/19/2008		E-Mail & Correspondence - Foreign Agent	10012765-8	1/8/2008 076398
1/9/2008		E-Mail & Correspondence - Foreign Agent	200408110-4	1/8/2008 0722754.9
1/9/2008		E-Mail & Correspondence - Foreign Agent	200500630-4	1/8/2008 0719435.0
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1/17/2008		Notice (Other)	200310368-8	1/8/2008 07101123.1
1/10/2008	•	E-Mail & Correspondence - Foreign Agent	200505383-2	1/8/2008 0709612.6
1/9/2008		E-Mail & Correspondence - Foreign Agent	200210016-5	1/8/2008 0705913.2
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1/10/2008	0A 3 MO	Office/Examiner's Action	200405993-1	1/8/2008/11/234500
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1/10/2008	RESTRICTION	Restriction Requirement	200503468-1	1/8/2008 11/181355
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1/10/2008	OA 3 MO	Office/Examiner's Action	200404042-1	1/8/2008 11/062043
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1/10/2008	ADVISORY	Advisory Action	200314514-1	1/8/2008 11/032743
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3/6/2008	The state of the s	E-Mail & Correspondence - Translation	200314890-3	1/8/2008 2005-127569
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1/8/2008		E-Mail & Correspondence - Foreign Agent	10006486-15	1/8/2008 2003-7016557
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1/8/2008 P2000-362249	10001173-2	Reminder FA/OC		1/8/2008
1/8/2008 P2000-383906	10991821-2	Notice of Allowance and Fee(s) Due	JP ISSUE FEE	1/10/2008
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1/8/2008 P2001-107261	10001098-2	E-Mail & Correspondence - Foreign Agent		1/8/2008
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1/8/2008 P2002-067358	10002681-2	E-Mail & Correspondence - Foreign Agent		1/8/2008
1/8/2008 P2002-115906	70010687-3	Notice of Allowance and Fee(s) Due	JP ISSUE FEE	1/11/2008
1/8/2008 P2002-131501	10002687-2	E-Mail & Correspondence - Foreign Agent		1/8/2008
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1/8/2008 P2002-577213	10007125-4	Notice of Allowance and Fee(s) Due	JP ISSUE FEE	1/11/2008
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1/8/2008 P2003-314155	10019819-2	Reminder FA/OC		1/8/2008
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1/8/2008 P2004-129769	200209422-2	E-Mail & Correspondence - Translation		1/28/2008
1/8/2008 P2004-139715	100200362-2	E-Mail & Correspondence - Foreign Agent	Anna de la companya d	1/8/2008
1/8/2008 P2004-144655	200207355-2	Notice of Allowance and Fee(s) Due	JP ISSUE FEE	1/11/2008
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1/8/2008 P2004-299672	200312768-4		JP OA 3 MO	1/10/2008
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1/8/2008 PH10-125336	10970108-2	Office/Examiner's Action	JP OA 3 MO	1/10/2008
1/8/2008 PH10-125336	10970108-2	E-Mail & Correspondence - Translation		2/8/2008
1/8/2008 PH10-249236	10961327-2	Office/Examiner's Action	JP OA 3 MO	1/10/2008
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1/11/2008		E-Mail & Correspondence - Foreign Agent	10960590-4	1/11/2008 19754437.1
1/11/2008		E-Mail & Correspondence - Foreign Agent	10012765-4	1/11/2008 1969-02
1/11/2008		Corrected Filing Receipt	200602207-2	1/11/2008 11/926362
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1/11/2008 P2000-021893	10981026-2	E-Mail & Correspondence - Foreign Agent		1/11/2008
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1/11/2008 P2002-099572	10005233-2	E-Mail & Correspondence - Foreign Agent		1/11/2008
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1/11/2008 P2002-157076	10003445-2	E-Mail & Correspondence - Foreign Agent		1/11/2008
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1/11/2008 P2002-179391	10004289-2	E-Mail & Correspondence - Foreign Agent		1/11/2008
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1/11/2008 P2002-259704	10004992-2	E-Mail & Correspondence - Foreign Agent		1/11/2008
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1/11/2008 P2002-577213	10007125-4	E-Mail & Correspondence - Foreign Agent		1/11/2008
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1/15/2008		E-Mail & Correspondence - Foreign Agent	10019837-4	1/15/2008 10316212.7
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1/16/2008 10/437525	200207376-1	Notice of Allowance and Fee(s) Due	ISSUE FEE 3 MO	1/18/2008
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1/16/2008 10/701282	10016939-1	Notice of Allowance and Fee(s) Due	ISSUE FEE 3 MO	1/17/2008
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1/18/2008	OA 3 MO	Office/Examiner's Action	10014070-1	1/16/2008 10/990178
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1/16/2008 93113469	200311928-6	Notice of Allowance and Fee(s) Due	SSUE FEE and ANNUITY	2/20/2008
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1/16/2008 94116657.1	1093843-4	E-Mail & Correspondence - Annuity	1/18/2008
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1/22/2008 11/144108	200308335-2	Notice of Allowance and Fee(s) Due	ISSUE FEE 3 MO	1/28/2008
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1/22/2008 11/212554	200403382-3	E-Mail & Correspondence - Foreign Agent		1/25/2008
1/22/2008 11/242303	10006757-2	Office/Examiner's Action	OA 3 MO	1/28/2008
1/22/2008 11/359500	200406807-2	Post-Grant Checklist		1/28/2008
1/22/2008 11/373037	200505374-1	Office/Examiner's Action	OA 3 MO	1/22/2008
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1/22/2008 200610100120.4	200400387-2	E-Mail & Correspondence - Foreign Agent		1/30/2008
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1/22/2008 200730298124.3	200701080-3	E-Mail & Correspondence - Foreign Agent	REQUIRED DOCUMENTS	1/22/2008
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1/22/2008 P2003-326294	100202898-2	Notice of Allowance and Fee(s) Due	JP ISSUE FEE	1/24/2008
1/22/2008 P2003-367186	100200913-4	Reminder FA/OC		1/22/2008
1/22/2008 P2003-508059	10006302-5	E-Mail & Correspondence - Foreign Agent		1/22/2008
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1/22/2008 P2004-014286	200209249-2	Notice of Allowance and Fee(s) Due	JP ISSUE FEE	1/24/2008
1/22/2008 P2004-212808	200210054-3	Notice of Allowance and Fee(s) Due	JP ISSUE FEÉ	1/24/2008
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2/26/2008		Notice of Loss of Rights	1/22/2008 PCT/US2006/01815 200207239-3
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1/23/2008 00112166.4	10991621-7	Notice (Other)		2/11/2008
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#### Appendix B

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PD Date:	2001-Jul-2	Resp Asst:	APE	File Date:	2001-Dec-6	Grant Date:	
Entity Loc:	IIML .	Resp Atty:	LED	Áppl	PENDING	Local O/C: Dan C. Hu	:
#1 Inventor:	Harumi Kuno			Writer:	Writer: John K Harrop	For Agent:	
Title:	Transformational Conversation Definition Lan	1 Conversation	Definition L	anguage			
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#1 Inventor:	Harumi Kuno			Writer: John K Harrop	ın K Harrop	For Agent:			
Title:	Transformation	Transformational Conversation Definition Language	Definition La	nguage					
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Entity Loc:	IIML .	Resp Atty:	LED	Appl	PENDING	Local O/C:	Dan C. Hu	٠	
#1 Inventor:	Harumi Kuno			Writer: John K Harrop	ın K Harrop	For Agent:	•		
Title:	Transformatio	Transformational Conversation Definition Language	Definition La	nguage					
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